08-13555-mg Doc 59499 Filed 02/05/19 Entered 02/19(119-111-11-15) Filed 02/05/19 Entered 02/19(119-111-15) Filed 02/05/19 Entered 02/19(119-11-15) Filed 02/05/19 Entered 02/19(11-15) Filed 02/05/19 Entered 02/19(11-15) Filed 02/05/19 Entered 02/05/19 Entered

Form 210A (10/06)

United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case Nos. 08-13555 (JMP) (Jointly Administered)

FEB 0 5 2019 EPIQ

NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY, PURSUANT TO FED. R. BANKR. P. 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE, by Bank Hapoalim (Switzerland) (the "<u>Transferor</u>"), against Lehman Brothers Holdings Inc., which has been designated as Claim No. 55855 (the "<u>Claim</u>"). Transferee (as that term is defined below) hereby gives evidence and notice, pursuant to Fed. R. Bankr. P. Rule 3001(e)(2), of the transfer, other than for security, of the Claim, in the nominal amount of USD 50,000 (the "<u>Transferred Portion</u>"). For the avoidance of doubt, the Transferred Portion relates to ISIN XS0334918322. A copy of the Evidence of Transfer of Claim (the "<u>Evidence of Transfer</u>") is attached hereto as Exhibit "A" and is incorporated by reference.

Name of Transferee

Bank J. Safra Sarasin (Gibraltar) Ltd

Name and Address where notices to Transferee should be sent:

Attn: Marvin Cartwright Bank J. Safra Sarasin (Gibraltar) Ltd First Floor, Neptune House, Marina Bay, PO Box 542, Gibraltar

Phone: +350 2000 2550

Last Four Digits of Acct #: 1403

Name and Address where transferee payments should be sent (if different from above):

Clearstream Banking Luxembourg L-2967 Luxembourg Acc BK J. Safra Sar.(Gibraltar)-CLTS A/C Acc No: 11403

Name of Transferor

Bank Hapoalim (Switzerland)

Name and Address where notices
to Transferor should be sent:

Attn: Stefan Stotzer Bank Hapoalim (Switzerland) Ltd. Stockerstrasse 33 CH-8027 Zurich, Switzerland

Phone: +41 44 283 82 46

Last Four Digits of Acct. #: N/A

Court Claim # (if known): 55855 Date Claim Filed: October 29, 2009 Transferred Portion: USD 50,000

08-13555-mg Doc 59499 Filed 02/05/19 Entered 02/19/19 11:50:36 Main Document Pg 2 of 6

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____

Transferee

Name: Marvin Cartwright
Title: Chief Executive Officer

By: ______Transferee

Name: Abigail Carroll

Title: Chief Operating Officer

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C §§ 152 & 3571.

Date: 31st January 2019

EVIDENCÉ OF TRANSFER OF CLAIM

FILED / RECEIVED

FEB **0.5** 2019

EPIQ

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, Bank Hapoalim (Switzerland) Ltd., Zurich, Switzerland ("Transferor") unconditionally and irrevocably transferred to Bank J. Safra Sarasin (Gibraltar) Ltd., Gibraltar ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 55855) in the nominal amount of USD 100'000.00 related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED THIS 16 day of January 2019.

Bank Hapoalim (Switzerland) Ltd.

Stockerstrasse 33 CH-8027 Zurich Switzerland

Stefan Stotzer Deputy Manager Igor Dahinden Assistant Manager S. 81. 41

Schedule I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN / Description	Court Claim #	Date Claim Filed	Issuer	Nominal Amount
XS0339538448 USD SOFT COMMODITIES NOTE	55855	29 October 2009	Lehman Brothers Treasury Co. BV	USD 50'000
Blocking number	6054114			
XS0334918322 USD CLEAN ENERGY, WATER NOTE	55855	29 October 2009	Lehman Brothers Treasury Co. BV	USD 50'000
Blocking number	6054111			



Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 777 Third Avenue, 12th Floor New York, NY10017 USA

Gibraltar, 31 January 2019

Transfer of Claim, Epiq Claims No: 55855

Dear Sir, Madam,

Bank J. Safra Sarasin is sending you herewith the enclosed original copy of the completed 210A transfer forms, evidence of the claim and Schedule 1 (Epiq Claim number 55855) against Lehman Brothers Holdings Inc by the following Transferor:

Bank Hapoalim (Switzerland) Ltd Stockerstrasse 33 CH-8027 Zurich, Switzerland

Yours faithfully,

Abigail Carroll

Chief Operations Office

Bank J. Safra Sarasin (Gibraltar) Ltd